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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Commissioner for Patents, Washington, D.C. 20231, on April 17, 2001

Caroline Wei-Berk 45,203

Name of Attorney Reg. No.

Caroline Wei-Berk

Signature of Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mattias Schmidt et al.

: P&G Case No.: 8414Q

Serial No.: 09/778,375

: Art Unit: 3761

Confirmation No.: 6856

:

Filed: February 7, 2001

:

For: Absorbent Article with Phase Change  
Material

:

TRANSMITTAL OF MISSING PARTS OF APPLICATION

The Commissioner for Patents  
Attention: Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is the signed Declaration requested by the Patent & Trademark Office. The Commissioner is hereby authorized to charge the \$130.00 fee associated with this request to Deposit Account No. 16-2480. A duplicate copy of this letter has been included herewith for this purpose.

Respectfully submitted,

FOR: MATTIAS SCHMIDT ET AL.

By Caroline Wei-Berk  
Caroline Wei-Berk  
Attorney for Applicant  
Reg. No. 45,203  
(513) 626-1139

April 17, 2001  
The Procter & Gamble Company  
Sharon Woods Technical Center  
11450 Grooms Road - Box C-18  
Cincinnati, OH 45242

# 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/778,375	02/07/2001	Mattias Schmidt	8414Q

The Procter & Gamble Company  
Sharon Woods Technical Center  
11450 Grooms Road  
Cincinnati, OH 45242



**CONFIRMATION NO. 6856**  
**FORMALITIES LETTER**



'OC000000005941534'

Date Mailed: 04/06/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

*A.M.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/20/2001 HVUDW61 00000024 162480 09778375

01 FC:105 130.00 CH



*6 A U Receipt*  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Commissioner for Patents, Washington, D.C. 20231, on April 17, 2001

Caroline Wei-Berk 45,203  
Name of Attorney Reg. No.

Caroline Wei-Berk  
Signature of Attorney

RECEIVED  
SEP -4 2001  
TECHNOLOGY CENTER 3700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Mattias Schmidt et al. : P&G Case No.: 8414Q  
Serial No.: 09/778,375 : Art Unit: 3761  
Confirmation No.: 6856 :  
Filed: February 7, 2001 :  
For: Absorbent Article with Phase Change  
Material :  
:

CORRECTION TO FILING RECEIPT

Customer Service Center  
Initial Patent Examination Division  
Washington, D.C. 20231

Dear Sir:

The filing receipt received by Applicants on April 16, 2001, for the above indicated application indicates "Residence Not Provided" for Cornelia Sprengard-Eichel. The correction should be made as follows:

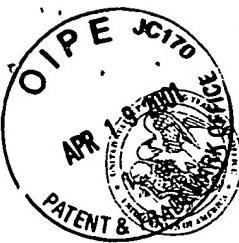
Delete "Residence Not Provided" and insert therefor --Frankfurt, GERMANY--.

It is respectfully requested that the above correction be made and a corrected Filing Receipt be issued. A copy of the Filing Receipt with the changes noted thereon is attached.

Respectfully submitted,  
FOR: MATTIAS SCHMIDT ET AL.

By Caroline Wei-Berk  
Caroline Wei-Berk  
Attorney for Applicant  
Reg. No. 45,203  
(513) 626-1139

April 17, 2001  
The Procter & Gamble Company  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/778,375	02/07/2001	3761	710	8414Q	4	15	

CONFIRMATION NO. 6856

**FILING RECEIPT**



'OC000000005941533'

The Procter & Gamble Company  
Sharon Woods Technical Center  
11450 Grooms Road  
Cincinnati, OH 45242

Date Mailed: 04/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Mattias Schmidt, Idstein, GERMANY;  
Mark James Kline, Okeana, OH;  
Bruno Johannes Ehrnsperger, Frankfurt am Main, GERMANY;  
Cornelia Sprengard-Eichel, ~~Residence Not Provided~~, Frankfurt, GERMANY  
Bruce Ernest Tepper, Cincinnati, OH;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/504,765 02/15/2000  
AND A CIP OF 09/504,485 02/15/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 04/05/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Absorbent article with phase change material

Preliminary Class

604

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Data entry by : MUSLIM, ALIA

Team : OIPE

Date: 04/06/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)



Bib Data Sheet

FILE COPY

CONFIRMATION NO. 6856

SERIAL NUMBER 09/778,375	FILING DATE 02/07/2001 RULE	CLASS 604	GROUP ART UNIT 3761	ATTORNEY DOCKET NO. 8414Q
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## APPLICANTS

Mattias Schmidt, Idstein, GERMANY;  
Mark James Kline, Okeana, OH;  
Bruno Johannes Ehrnsperger, Bad Soden am Taunus, GERMANY;  
Cornelia Sprengard-Eichel, Frankfurt, GERMANY;  
Bruce Ernest Tepper, Cincinnati, OH;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A CIP OF 09/504,765 02/15/2000  
AND A CIP OF 09/504,485 02/15/2000

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE  
GRANTED \*\* 04/05/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY GERMANY	SHEETS DRAWING 4	TOTAL CLAIMS 15	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

## ADDRESS

The Procter & Gamble Company  
Sharon Woods Technical Center  
11450 Grooms Road  
Cincinnati ,OH 45242

## TITLE

Absorbent article with phase change material

FILING FEE RECEIVED 840	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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